

BCP

Invest with confidence

THE
**BCP APPROVED RETIREMENT
FUND (ARF)**

Application Form

A PERSONAL DETAILS

I HEREBY APPLY FOR A:

BCP APPROVED RETIREMENT FUND**(A) NAME (MR/MS)****DATE OF BIRTH**Place of Birth
(Town/City)**PPS NUMBER****OCCUPATION/
FORMER OCCUPATION**

Marital Status

ADDRESS**TELEPHONE**

Day

Mobile

EMAIL**EMPLOYMENT STATUS:** (please tick one)

Employed

Self Employed

Not Employed

Retired

If you are Employed or Retired please confirm:Name of Employer or
former EmployerWhat is/was your role/
occupationWhat is/was the nature of your Employers/
former Employers business**If you are Self Employed please confirm:**Please provide a brief description of your
business activitiesPlease detail the country or countries where
at least 20% of turnover originates

Your website (if applicable)

COMMON REPORTING STANDARDS (CRS)**For Common Reporting Standard purposes:**

Are you a resident of any country or territory other than Ireland for tax purposes?

Yes

No

If **Yes**, please list below all countries/territories in which you are resident and provide the relevant Tax Identification Number(s) (TIN):

Country/Territory 1

Tax Identification Number

Country/Territory 2

Tax Identification Number

POLITICALLY EXPOSED PERSON (PEP), RELATIVE OR CLOSE ASSOCIATE (RCA) OF A PEP AND OTHER PERSONS OF INFLUENCE

Are you (or have been), a PEP or RCA of a PEP?

Yes

No

Do you hold (or have held) a prominent position or enjoy (or have enjoyed) a high public profile?

Yes

No

Are you involved (or have been involved) in political lobbying?

Yes

No

If you have answered Yes to any question, please complete the PEP, RCA and Other Persons of Influence Supplementary Form. An explanation of these terms is provided in Supplementary Form (page 16-18).

B BANK DETAILS (FOR PAYMENT OF INCOMES AND DISTRIBUTIONS)

BANK NAME

ACCOUNT NAME

SORT CODE

ACCOUNT NUMBER

IBAN

C INVESTMENT CHOICE

Please complete the section below by entering your investment amount for each product(s).
The minimum investment amount for each product is €20,000 and investments must be in increments of €1,000.

Investment advice was provided by:

Intermediary

BCP

PRODUCT

INVESTMENT AMOUNT

<input type="text"/>	€ <input type="text"/>
<input type="text"/>	€ <input type="text"/>
<input type="text"/>	€ <input type="text"/>
<input type="text"/>	€ <input type="text"/>
<input type="text"/>	€ <input type="text"/>
<input type="text"/>	€ <input type="text"/>
TOTAL	€ <input type="text"/>

BCP (€) Euro Electronic Funds Transfer (EFT) Details:

Bank Name: AIB

Bank Address: 100 Grafton St. Dublin 2

Account Number: 03623-969

Account Name: BCP Asset Management DAC Client Asset Account

Sort Code: 93-12-33

BIC Code: AIBKIE2D

IBAN No.: IE23 AIBK 9312 3303 6239 69

CHEQUES SHOULD BE MADE PAYABLE TO BCP Asset Management DAC**D SOURCE OF WEALTH & FUNDS****SOURCE OF WEALTH**Income from
EmploymentSavings/
Reinvestment

Bonus

Gift/Inheritance

Sale of Asset

Retirement
Lump Sum**SOURCE OF FUNDS**Reinvestment from
BCP productEncashment of
another productOther
(please provide details)

E INVESTMENT EXPERIENCE

The purpose of this section is to allow BCP to meet its regulatory obligations to ensure all investors in BCP products have an appropriate level of previous investment experience, knowledge and education, that would allow them to properly evaluate the features and the risks involved in the BCP product. Please note the completion of the table below is an important part of the application process so please complete all sections where relevant.

ANY OTHER RELEVANT INFORMATION;

(A) EXPERIENCE INVESTING IN SIMILAR PRODUCTS:

Have you previously invested in hard capital protected structured products with 90% or 95% capital security? Yes No

If **Yes**, please provide the no. of years' experience and no of investments made:

Have you previously invested in Kick-Out products? Yes No

If **Yes**, please provide the no. of years' experience and no of investments made:

E INVESTMENT EXPERIENCE CONTINUED

(B) GENERAL INVESTMENT EXPERIENCE:

Please complete for the most experienced person connected with the application and with as much information as possible.

Asset Class (Currently or Previously invested in via Pension or Investment Portfolio)	Please tick below where relevant			Please complete where relevant		Please tick below where relevant	
	No Experience	Limited Experience	Good Experience	Number of Years' Experience	Number of investments/trades placed	Investment Advice Used	No Investment Advice Used
Multi-Asset Funds with minimum ESMA 4 risk rating							
Multi-Asset Funds with minimum ESMA 3 risk rating							
Equity Funds							
Listed Company Shares							
Capital Secure Deposit/ Tracker Bonds							
Capital Secure Note/ Certificate Bonds							
Property Funds							

Do you have a professional qualification? Yes No

If **Yes** please describe:

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F DECLARATION

I hereby declare that to the best of my knowledge I am eligible to invest in an Approved Retirement Fund under the Taxes Consolidation Act, 1997 (as amended).

I have read through the replies to all the questions in this application form and declare that to the best of my knowledge and belief all information and statements given whether in my handwriting or otherwise are accurate and complete.

I understand that failure to give true and complete answers to all questions may be grounds for rejecting a claim. I have not withheld or suppressed any material information and where in doubt about whether certain material facts are relevant I have disclosed them.

I authorise, where relevant, each of my previous qualifying fund managers or current qualifying fund managers to disclose to BCP Asset Management DAC such particulars as may be requested.

I authorise, where relevant, any insurance provider or pension scheme trustees to provide BCP Asset Management DAC with details of any pension annuity paid to me.

I understand that my assets with BCP will be held in a pooled client asset account in the name of BCP Asset Management DAC as per the BCP Terms of Business. I understand that where I apply for a specific product, the product documentation will confirm if my assets allocated to that product are also held in a pooled client asset account in the name of BCP Asset Management DAC.

I authorise my advisor to view details of my account online via vespro.bcp.ie.

I agree to receive valuations and other communications from BCP online via vespro.bcp.ie.

I hereby request and authorise BCP to give effect to any written request, direction or instruction relating to the Bond on the signature of me and I declare that this authorisation, unless terminated by my death or by operation of law, shall remain in full force and effect until the end of the Term of each product.

I understand the Terms and Conditions as set out in the Brochure including the location of the counterparty and agree to be bound by them.

I authorise BCP to hold my personal data on file, to contact me in writing, by telephone or by email in respect of financial services matters.

I confirm that I have received the BCP Client Asset Key Information Document.

I confirm that I have received the Key Information Document (KID) for each investment.

I confirm that I am not a U.S. Person(s) and I am resident outside the United States.

I confirm that I will notify BCP if I become a U.S. Person or reside in the United States during the term of the Bond(s). I understand that should this occur I cannot continue to hold the investment and must surrender the Bond(s) at its realisable value which may be more or less than the initial investment.

I agree to advise BCP immediately if, during the term of my investment, I or any beneficial owner becomes a PEP or close associate of a PEP or other person of influence.

I agree to notify BCP immediately if, during the term of my investment, there is a change of any beneficial owner or controller.

I confirm all the information is true and complete, including that not completed in my own hand.

G SIGNATURE(S)**Please tick and sign below as applicable:**

I confirm that I have read and understand the BCP ARF Terms and Conditions attached to this application and agree to be bound by them.

I confirm that I have read and understand the benefits, risks and warnings as set out in the Brochure for each investment being applied for, and I have read and understand the Terms and Conditions and agree to be bound by them.

I consent to my/our personal information being used by BCP Asset Management DAC to provide information on new investment opportunities.

Tick box if applicable.

I instruct BCP to invest the maximum amount available in the relevant BCP Product(s) and fund Distributions/withdrawals for the duration of the individual bond(s).

Yes

No

SIGNED

SIGNATURE:

DATE:

For and on Behalf of Applicant

Email address for vespro.bcp.ie registration to view your account online

(Required)

Mobile number for vespro.bcp.ie for 2 factor authentication to view your account online.

(Required)

H

INTERMEDIARY DECLARATION

NAME OF INTERMEDIARY FIRM:

RECURRING ADVISOR FEE:

The minimum Recurring Advisor Fee is 0% and the maximum is 0.75% per annum (subject to increments of 0.05%).

Please note that for the purposes of face to face (see below), video calls do not constitute face to face, you must have met the client in person.

HAVE YOU EVER MET THE CLIENT FACE TO FACE?

Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
	<input type="checkbox"/> M <input type="checkbox"/> M		<input type="checkbox"/> Y <input type="checkbox"/> Y <input type="checkbox"/> Y <input type="checkbox"/> Y

IF YES, WHEN WAS YOUR LAST MEETING

HAVE YOU MET THE CLIENT FACE TO FACE FOR THIS PARTICULAR TRANSACTION(S)

Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
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DOES THE CLIENT MEET ALL THE TARGET MARKET CRITERIA OUTLINED IN THE BROCHURE OF EACH INVESTMENT?

Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	(Please tick where applicable)
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If you have answered 'No' please explain the reason(s):

Please provide any additional information you feel may be relevant in assessing this application from an Anti-Money Laundering / Counter Terrorism Financing perspective e.g. how long you have known the client, source of wealth, various sources of income:

I hereby confirm that I have provided investment advice to my client with respect to this investment.

SIGNATURE

DATE

NAME

POSITION

For and on behalf of the Intermediary.

BCP ASSET MANAGEMENT DAC INVESTMENT SERVICES APPLICATION FORM

SERVICE TO BE PROVIDED BY BCP

NON-ADVISORY



This document is to confirm that BCP are providing 'Non-Advisory' services to you as an investor. This means you are receiving investment advice from another firm and that BCP is providing execution-only services to you. Where Non-Advisory services are provided to retail clients in relation to complex financial instruments, BCP are required to gather relevant information in relation to your investment experience and knowledge only. This information gathering is completed via the Application Form.

APPLICANT

Email Address

APPLICANT

Email Address

CONFIDENTIAL PRIVATE CLIENT INFORMATION

WARNING: Not providing the information, or providing insufficient information, will not allow us to determine whether the service or product envisaged is appropriate for you. Should there be any material change in your circumstances please notify us. All information received is treated in confidence in accordance with the Data Protection Acts 1988 - 2018. "Information" means any information given by you or on your behalf in connection with this application or any further information which may be given at a later stage either in writing, by email at a meeting or over the telephone including that furnished in connection with any application for any product/service available through us.

CLIENT CONFIRMATION

I/We hereby request BCP Asset Management DAC to provide Investment Services to me/us in accordance with its Terms of Business.

I/We understand and acknowledge that all transactions will be subject to the Terms of Business of BCP Asset Management DAC that are applicable at the time of each such transaction, and that the Terms of Business applicable at the date of this application may subsequently be varied.

I/We undertake to inform BCP Asset Management DAC in writing without delay if any information provided to the firm, including my/our address, residency, citizenship or tax status, changes.

I/We acknowledge that I/we have read, understand and accept BCP Asset Management DAC's Terms of Business.

By signing this application form, I/we consent to the Information provided being used as described in the Data Protection section of the Terms of Business and I/we consent to the extent required to the processing of the Information relating to me/us, including the transfer of the Information outside the EEA, as outlined therein.

CLIENT SIGNATURE

(or Authorised Signatory for and on behalf of client)

POSITION (If Applicable)

(Director/Trustee/Other/Specify)

DATE

CLIENT SIGNATURE

(or Authorised Signatory for and on behalf of client)

POSITION (If Applicable)

(Director/Trustee/Other/Specify)

DATE

TRANSFER CERTIFICATE

PLEASE NOTE | Before BCP Asset Management DAC can accept funds into an ARF, we must receive this Certificate fully completed by the existing Qualifying Fund Manager, pension or PRSA provider, appropriately stamped.

ARF | APPLICANT DETAILS

NAME

ADDRESS

TRANSFER AMOUNT

€

The amount being transferred is

A. From an existing ARF

OR

B. From a pension fund/retirement annuity contract/PRSA

Existing ARF contract
policy number

Name of scheme/assurance company or PRSA provider

Revenue Approval
Number/Policy No:

PRE 6TH APRIL 2000 ARF

WAS THE ORIGINAL ARF SET UP PRE 6TH APRIL 2000?

Yes

No

If the effective date of the original ARF is **pre 6th April 2000**, please state **in respect of the ARF assets being transferred:**

1. The amount
represented by Income
& Capital Gains:

€

2. The amount
represented by The
Residual Balance:

€

TOTAL

€

DECLARATION

ARF | The applicant named above is beneficially entitled to the proceeds of this fund which are being transferred to an ARF or have previously been transferred to an ARF in accordance with subsection (2A) of Section 784 of the Taxes Consolidation Act 1997.

SIGNED

(Authorised Signatory)

PRINT NAME

**CONTACT TELEPHONE
NUMBER**

**ON
BEHALF OF**

DATE

DISTRIBUTIONS AND TAXATION

APPROVED RETIREMENT FUND (ARF):

Under the 2011 Finance Act (as amended), tax will be charged on imputed distributions/withdrawals based on your age and on the value of your Approved Retirement Fund ("ARF"). This only applies to ARFs which were first established on or after 6th April 2000 and where the beneficiary is aged over 60.

If your total ARFs are under €2 million, each year tax must be deducted and remitted to Revenue based on:

- 4% of the value of your ARF, if you are over 60.
- 5% of the value of your ARF, if you are over 70.

If your total ARFs exceed €2 million, tax based on 6% of the value of your ARF must be deducted and remitted to Revenue each year.

All withdrawals (including the minimum withdrawals detailed above) are subject to income tax, Pay Related Social Insurance (PRSI) and Universal Social Charge (USC).

TERMS & CONDITIONS

Aggregate Investment: The total value of Your Investment including any investment growth, any additional Investments made less any accrued Fees and Charges;

Approved Retirement Fund ("ARF"): That part of Your Contract which is recognised by the Revenue Commissioners as an Approved Retirement Fund;

BCP Product: Any product offered by Us (either directly or as distributor) to which you intend to transfer or have transferred all or part of the Your Investment;

Client Cash Account: A nominal code specific to Your Contract for the purposes of accounting for your Investment.

Contract: BCP Approved Retirement Fund;

Distribution(s): Any payment made whether or not to you directly including any payment made to the Revenue commissioners or other Governmental agency as required by law or Revenue practice, including any tax, levy or other statutory deduction;

Fees and Charges: The amounts shown in the Appendix as amended by Us from time to time. Any amendments will be notified to You in writing, which includes email and or by way of your online Vespro account, at least 30 days in advance of the change taking effect. The currently applicable fees and charges are available from Us on request and are available on our website www.bcp.ie;

Day: The day referred to, or where that day is a day where We or a relevant party is closed for business, or where that day is not a dealing day, the next day We or the relevant party is open for business or that is a dealing day;

Financial Advisor: The Intermediary nominated by you to whom/ which we pay remuneration. The Intermediary must hold an appointment in writing from Us and be authorised by the Central Bank of Ireland;

Instruction: A valid request to Our satisfaction to undertake an action;

Interest: The amounts shown as such in the Appendix as amended by Us from time to time. Any amendments will be notified to You in writing, which includes email and or by way of your online Vespro account, at least 30 days in advance of the change taking effect. The currently applicable interest amounts are available from Us on request and are available on our website www.bcp.ie;

Investment: The amount or assets you wish to place into the Contract;

Our/We/Us: BCP Asset Management DAC;

Product with Limited Liquidity: BCP Capital Secure Bonds and BCP Kick-Out Bonds or any other product designated by Us as a Product with Limited Liquidity based on Our assessment of its terms and if it is listed on a recognised market;

You/Your: The applicant for the Contract, or as the context so requires the natural person for whom the Contract is established.

MAKING AN INVESTMENT

To make an Investment the relevant amount must be lodged into the BCP Client Asset Account for the Contract. Once We receive the Investment it will be transferred to Your Client Cash Account within 1 Day.

For in specie transfers the assets will be registered in the name of Your Contract.

If You have provided Us with an Instruction to invest in a BCP Product the relevant part of the Investment will be transferred from Your Client Cash Account to that BCP Product no later than the Start date of that BCP Product.

Where a BCP Product does not have a Start Date (i.e. an open ended product) and Your Instruction is received by Us on any Day before 12.00 p.m. We will transfer the relevant part of Your Investment to the BCP Product within 1 Day provided that BCP Product can accept investments at that time.

Where Your Instruction is received by Us on any Day after 12.00 p.m. We will transfer the relevant part of Your Investment to the BCP Product within 2 Days provided that BCP Product can accept investments at that time.

Where a BCP Product cannot accept investments at the time described above, BCP shall defer transferring the relevant part of Your Investment to the BCP Product until such a time that the BCP Product can accept investments.

You may only cancel an Instruction to invest in a BCP Product provided the manufacturer, administrator or other party authorised to accept/reject a cancellation request in respect of that BCP Product permits the cancellation to proceed.

CLIENT CASH ACCOUNT – COMMON POOL

All amounts in the Client Cash Account will be held in a Client Asset Account in the name of BCP Asset Management DAC. The money held in respect of Your Contract will be held with other clients' assets as part of a common pool so you do not have a claim against a specific sum in a specific account; your claim is against the client assets pool in general.

In the case of any such pooled client account we will ensure that such account is in the name of BCP Asset Management DAC, is designated as a client asset account and that only We are entitled to issue instructions in respect of this account. This client asset account will be operated in accordance with the Irish Client Asset Regulations 2017.

TERMS & CONDITIONS CONTINUED

PROCEEDS OF A BCP PRODUCT

The proceeds of any interest coupon, encashment, kick out, maturity or any other payment of any BCP Product shall be transferred to the Client Cash Account within 1 Day of the Day they are received by Us.

An amount equal to the sum of

- (a) any debit balance outstanding on the Fees and Charges Account shall be transferred from the Client Cash Account to the Fees and Charges Account prior to any other transfers being made from the Client Cash Account

plus

- (b) the sum of all outstanding Distributions together with interest thereon

shall be deducted from the proceeds received into Your Client Cash Account prior to Us acting on any Instruction from You.

DISTRIBUTIONS**Funded by Us**

Where Your Contract invests into a Product with Limited Liquidity, We will at Your request as indicated on the Application Form or as subsequently notified to Us by You in writing, fund Distributions for the duration of the individual bond(s).

Where We provide funding for Distributions Your Client Cash Account shall be debited with the amount of each Distribution and such amount shall be subject to interest calculated in accordance with the section on Credit below.

Funded by You

Where You do not request Us to fund Distributions for Products with Limited Liquidity You are required to ensure that after investing into a Product with Limited Liquidity, the remaining credit balance in Your Client Cash Account is in excess of anticipated aggregated Distributions over the duration of the term of the Product with Limited Liquidity.

We shall pay any Distribution from the credit balance in Your Client Cash Account. Should the credit balance ever be insufficient to meet the Distribution amount, You are required to transfer funds from a source acceptable to the Us and the Revenue to meet the Distribution amount.

Where You do not make the transfer referred to above within four weeks of Us paying the Distribution amount, You authorise Us to encash any of the Aggregate Investments for an amount equivalent to the Distribution amount paid by Us plus any expenses associated with the encashment.

CREDIT

Where You have requested Us to fund Distributions due on Products with Limited Liquidity we shall apply interest as described below.

Interest will be charged at the rate shown in the Appendix or as subsequently notified to You. The amount of Interest which is due on an annual basis shall be calculated daily as follows;-

$$\frac{\text{Aggregate Distributions} * \text{Applicable Interest Rate}}{365}$$

365

Where

Aggregate Distributions means the outstanding Distributions funded by Us for Your Contract at the end of each day together with any outstanding accumulated interest thereon

and

Applicable Interest Rate means the rate of interest applicable on Distributions at the time the calculation is performed.

The amount calculated shall be debited to Your Client Cash Account no later than 10 Days after the end of each calendar month or prior to termination of Your Contract if earlier.

FEES AND CHARGES**Annual Management Charge**

An Annual Management Charge ('AMC') shall apply to the Aggregate Investment. The amount of the AMC will be calculated on a daily basis as follows;-

$$\frac{\text{Aggregate Investment} * \text{AMC} \%}{365}$$

365

The AMC shall be debited to Your Fees and Charges Account each quarter or prior to termination of Your Contract if earlier. The amount debited shall be subject to the minimum and maximum AMC charges applicable at the time.

Recurring Advisor Fee

Where You have advised Us that Your Financial Advisor is to be paid a Recurring Advisor Fee this shall be calculated on a daily basis as follows;-

$$\frac{\text{Aggregate Investment} * \% \text{ Annual Recurring Advisor Fee}}{365}$$

365

Any Recurring Advisor Fee payable shall be debited to your Fees and Charges Account each quarter or prior to termination of Your Contract if earlier.

Fees and Charges Account

We shall set up a Fees and Charges Account for the accounting and payment of fees and charges applicable to Your Contract. These fees and charges shall be debited to the Fees and Charges Account. The Fees and Charges referred to are those described in these Terms and Conditions. Additional fees and charges may apply to any products in which Your Contract invests.

INTEREST ON CREDIT AND DEBIT BALANCES

With the exception of interest due on Distributions which is described above (see 'Credit'), where Interest is payable or receivable the amount shall be calculated as follows;-

$$\frac{\text{Closing daily balance in the relevant account} * (\text{Applicable interest rate} / 365)}{365}$$

Any resulting interest shall be debited or credited as appropriate to Your Client Cash Account within 10 Days of each calendar month end or prior to termination of Your Contract if earlier.

WHAT HAPPENS ON DEATH?

In the event of Your death whilst Your Contract is in force;-

- Your Contract may be transferred into the names of Your spouse, or dependant(s) subject to the legislation governing Your Contract, or
- Your Aggregate Investment may be redeemed and Your Contract terminated subject to normal probate regulations and legislation and Revenue practice governing Your Contract. The value of Your Aggregate Investment will be the realisable value of the BCP Product held on the date it is, or if more than one product, they are, realised less any charges incurred in its/their realisation. The realisable value will be transferred into the Client Cash Account.
For BCP Structured Bonds and Structured Deposits the realisable value will be calculated primarily by reference to the market value of the assets, the remaining term to maturity, and the prevailing interest rates at the time. The amount redeemed may be more or less than the capital secure amount.
- All Distributions funded by Us together with any interest due thereon and the amount of any debit balance in Your Fees and Charges Account on the Day prior to Your Contract being terminated shall be deducted from the realisable value before the settlement is paid to the Executors of Your Estate.

APPENDIX – FEES AND CHARGES CURRENT 15 AUGUST 2022

As Qualifying Fund Manager (QFM) We may be required to deduct tax and remit to Revenue where Your Contract is transferred to a beneficiary other than Your spouse.

AMENDMENTS TO THESE TERMS AND CONDITIONS

We may amend these terms and conditions by providing You with at least 30 days' notice.

All Fees, Charges, interest rates and other amounts shown in these Terms and Conditions are those applicable as at August 2022 and are subject to change.

ANNUAL MANAGEMENT CHARGE

0.20% per annum subject to a minimum charge of €100 per annum and a maximum charge of €2,000 per annum.

INTEREST ON CREDIT AND DEBIT BALANCES

	Applicable Interest rate per annum	
	Debit Balances	Credit Balances
Client Cash Account	0.00%	0.00%
Fees and Charges Account	0.00%	0.00%

RECURRING ADVISOR FEE

The minimum Recurring Advisor Fee is 0% and the maximum is 0.75% per annum of the Aggregate Investment (subject to increments of 0.05%).

Current: 15 August 2022

DATA PROTECTION

BCP Asset Management DAC complies with the requirements of the General Data Protection Regulation 2018.

“Information” means any information given by you or on your behalf in connection with your Investment Application to us. Where you are not a natural person, Information also includes any information you provide to us in respect of your officers, directors or employees, in this regard the use of the term ‘you’ in this Data Protection refers to you or such individuals as appropriate. Information includes any further information which may be given at a later stage either in writing, by email at a meeting or over the telephone including that furnished in connection with any application for any product/service available through us.

The Information will be used by us for the purposes of processing your applications, managing and administering your relationship with us and any products/services for which you have completed an application. The information will also be used for the prevention of money laundering, financing of terrorism or fraud, and compliance with any legal and regulatory obligations which apply to us.

The Information may be disclosed to BCP Asset Management group, third parties including, but not limited to, the intermediary acting on your behalf, product producers/service providers to which you have submitted an application or to which such submission is being contemplated, the providers of services to us, the Administrator, distributors, the Trustee and/or their respective delegates and agents of any Fund you are invested in. We may also disclose your data for legitimate business interest & legal obligations, to auditors, the Central Bank of Ireland, the Irish Revenue Commissioners, other relevant regulators and tax authorities. For further information on Foreign Account Tax Compliance Act (FATCA) or Common Reporting Standard (CRS) please refer to Irish revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link: <http://www.oecd.org/tax/automatic-exchange/common-reportingstandard/> in the case of CRS only.

Right of access, rectification or erasure

You have the right at any time to request a copy of any “personal data” (within the meaning of the General Data Protection Regulation 2018 and the Irish Data Protection Act 2018) that we hold in relation to you and have it corrected if it is inaccurate or out of date. To exercise your Right of Access or to update your details under your Right of Rectification or Erasure please email your request to the Dataprotection@bcp.ie

Data Retention

Information submitted by you when making an enquiry may be retained by us for a period of up to 12 months from the date of the enquiry. Your information will be held for a period of at least 6 years after the ending of the client relationship.

Data Security

BCP Asset Management DAC intent is to strictly protect the security of your personal information and carefully protect your data from loss, misuse, unauthorised access or disclosure, alteration or destruction. We have taken appropriate steps to safeguard and secure information by us.

BCP

Invest with confidence



**POLITICALLY EXPOSED PERSON
(PEP) OR RELATIVE OR CLOSE
ASSOCIATE (RCA) OF A PEP AND
OTHER PERSONS OF INFLUENCE**

Supplementary Form

A INVESTOR DETAILS

We are obliged under AML legislation to identify PEPs, RCAs and other Persons of Influence.

APPLICANT A:

APPLICANT B:

B PEP\RCA STATUS

An explanation of PEP and RCA is detailed overleaf

Are you or any of the Beneficiaries, Trustees, Settlers, Appointers or in the case of a Company Owner, Director, Beneficial Owner (or have been), a PEP or RCA of a PEP?

Yes No

I/We am/are (or have been) a PEP:

Yes No

If you have answered **Yes**, please provide the details of the prominent public function you perform/performed:

I/We am/are a Relative or Close Associate of a PEP or former PEP:

Yes No

If you have answered **Yes**, please complete below:

Relationship to PEP:

Name of PEP:

Prominent public function performed by the PEP:

C OTHER PERSONS OF INFLUENCE

Do you or any of the Beneficiaries, Trustees, Settlers, Appointers or in the case of a Company Owner, Director, Beneficial Owner **hold (or have held) a prominent position or enjoy (or have enjoyed) a high public profile?**

Yes No

If you have answered **Yes** please confirm:

Name of the person who holds/held the prominent position

Please confirm the prominent position held:

A Senior local or regional public official with the ability to influence the awarding of public contracts

Yes No

A decision making member of a high profile sporting body

Yes No

An individual that is known to influence the government and other senior decision makers

Yes No

Another prominent position *(please specify)*

Are you or any of the Beneficiaries, Trustees, Settlers, Appointers or in the case of a Company Owner, Director, Beneficial Owner **involved (or have been involved) in political lobbying?**

Yes No

If you have answered **Yes** please confirm

Name of the person involved in political lobbying

Name of the relevant political lobbying entity

D ROLE DETAILS**Role Options : Beneficiary, Trustee, Settlor, Appointer, Company Owner, Director, Beneficial Owner**

For each person for whom you have answered yes to the above question, please complete the following:

ROLE	FIRST NAME	LAST NAME	ADDRESS

Please sign and date.

SIGNATURE A:

DATE:

SIGNATURE B:

DATE:

For and on behalf of applicant

INFORMATION NOTE**Who is a Politically Exposed Person (PEP)?**

A "politically exposed person" means any individual, who currently is, or has at any time, been entrusted with a prominent public function and performs any of the following roles:

- (A) A head of state, head of government, government minister or deputy or assistant government minister
- (B) A member of a parliament/member of a legislature or equivalent/member of the governing body of a political party
- (C) A member of a Supreme Court, Constitutional Court or any other high level judicial body which passes non-appealable verdicts (except in exceptional circumstances)
- (D) A member of a court of auditors or the board of a central bank
- (E) An ambassador, charge d'affairs or high ranking officer in the armed forces
- (F) A member of the administrative, management or supervisory body of a state owned enterprise
- (G) A director, deputy director or member of the board of, or person performing the equivalent function in relation to, an international organisation

Who is a Relative?

- (A) Any spouse of the Politically Exposed Person
- (B) Any person who is considered to be a common law spouse of the politically exposed person under law
- (C) A child of the politically exposed person
- (D) Any spouse of the child of a politically exposed person
- (E) Any parent of the politically exposed person
- (F) Any other family member of the politically exposed person who is of a prescribed class set out by the Department of Finance

Who is a Close Associate?

- (A) Any individual who has a joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations with the politically exposed person
- (B) Any individual who has a sole beneficial ownership of a legal entity or legal arrangement set up for the actual benefit of the politically exposed person

