

BCP

Invest with confidence

THE
**PERSONAL
RETIREMENT BOND
(PRB)**

Application Form

A PERSONAL DETAILSI hereby apply for a: **PERSONAL RETIREMENT BOND**NAME (MR/MS) DATE OF BIRTH Place of Birth
(Town/City) PPS NUMBER OCCUPATION/
FORMER OCCUPATION MARTIAL STATUS SPOUSE'S NAME Spouse's
Date of Birth ADDRESS TELEPHONE Day Mobile EMAIL

EMPLOYMENT STATUS: (please tick one)

Employed

Self Employed

Not Employed

Retired

If you are Employed or Retired please confirm:Name of Employer or
former Employer What is/was your role/
occupation What is/was the nature of your Employers/
former Employers business **If you are Self Employed please confirm:**Please provide a brief description of your
business activities Please detail the country or countries where
at least 20% of turnover originates Your website (if applicable) **COMMON REPORTING STANDARDS (CRS) & FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA)****For Common Reporting Standard & FATCA purposes:**

Are you a resident of any country or territory other than Ireland for tax purposes?

Yes No If **Yes**, please list below all countries/territories in which you are resident and provide the relevant Tax Identification Number(s) (TIN):COUNTRY/TERRITORY TAX IDENTIFICATION
NUMBER **POLITICALLY EXPOSED PERSON (PEP), RELATIVE OR CLOSE ASSOCIATE (RCA) OF A PEP AND OTHER PERSONS OF INFLUENCE**

Are you (or have been within the last 12 months), a PEP or RCA of a PEP?

Yes No

Do you hold a prominent position or enjoy a high public profile?

Yes No

Are you involved in political lobbying?

Yes No **If you have answered Yes to any question, please complete the PEP, RCA and Other Persons of Influence Supplementary Form. An explanation of these terms is provided in Supplementary Form (page 10-12).**

B TRANSFERRING SCHEME AND TRANSFER VALUE**TO BE COMPLETED BY TRUSTEE, EMPLOYER OR SCHEME MEMBER**

Is the member transferring from a Group Scheme?

Yes No

Is the member transferring from an existing Personal Retirement Bond?

Yes No **SOURCE OF PRB**Defined Benefit Defined Contribution (Please select one)**NAME OF EMPLOYER****NAME OF TRUSTEE(S)****FULL NAME OF SCHEME****REVENUE REFERENCE NO.**

Basic salary at date of leaving service

DATE EMPLOYMENT COMMENCED

D	D	M	M	Y	Y
---	---	---	---	---	---

OR**DATE JOINED SCHEME**

D	D	M	M	Y	Y
---	---	---	---	---	---

P60 earnings for 3 consecutive years in the last 10 years prior to date of leaving service (Schedule E):

DATE OF LEAVING SCHEME

D	D	M	M	Y	Y
---	---	---	---	---	---

NORMAL RETIREMENT DATE

D	D	M	M	Y	Y
---	---	---	---	---	---

€ € € **C****If the answer to any of the next six questions is 'YES', then please give full details in the box below:**

1. Was an approved Retirement Annuity effected in respect of a previous non-pensionable employment or while self-employed?

Yes No

2. Is the employee and/or his/her dependant(s) entitled to benefits from another Retirement Benefits Scheme?

Yes No

3. Has the employee received any benefits, other than a refund of contributions, on leaving any other service?

Yes No

4. Does the employee and/or his/her dependant(s) hold more than 5% but less than 20% of the equity and/or voting control of the company?

Yes No

5. Does the employee and/or his/her dependant(s) hold more than 20% of the equity and/or the voting control of the company?

Yes No

6. Has the employee irrevocably surrendered his/her right to commute under the scheme?

Yes No If **Yes** above, please specify:Transfer Value: € Amount attributable to employee's contributions (if applicable):€

Amount attributable to AVC contributions (if applicable):

€

Is the employee splitting his transfer value between two or more Personal Retirement Bonds?

Yes No

Has a Pension Adjustment Order (POA) been granted on any transfer value paid into the scheme?

Yes No If **Yes**, please attach a copy to this form.Yes No **If transfer value is from a U.K. Scheme, qualified recognised overseas pension scheme status is required. Please contact BCP Asset Management for further details.**

D BENEFITS TO BE PROVIDED UNDER THE POLICY

1. If a spouse's pension on death after retirement is to be provided, specify the appropriate percentage of the employee's pension before commutation to apply
-
2. Rate of increase of spouse's pension(s) during payment applicable under the scheme (% p.a. compound) Yes No
-
3. If the employee has chosen to split his transfer value between two or more policies is this policy to be endorsed to the effect that benefits arising on retirement are wholly non-commutable? Yes No
-

E INVESTMENT CHOICE

Please complete the section below by entering your investment amount for each product(s).
The minimum investment amount for each product is €20,000 and investments must be in increments of €1,000.

Investment advice was provided by: Intermediary BCP

PRODUCT	INVESTMENT AMOUNT
<input type="text"/>	€ <input type="text"/>
<input type="text"/>	€ <input type="text"/>
<input type="text"/>	€ <input type="text"/>
<input type="text"/>	€ <input type="text"/>
<input type="text"/>	€ <input type="text"/>
<input type="text"/>	€ <input type="text"/>
TOTAL	€ <input type="text"/>

BCP Euro (€) Electronic Funds Transfer (EFT) Details:

Bank Name: AIB **Bank Address:** 100 Grafton St. Dublin 2 **Account Number:** 03623-969

Account Name: BCP Asset Management DAC Client Asset Account **Sort Code:** 93-12-33

BIC Code: AIBKIE2D **IBAN No.:** IE23 AIBK 9312 3303 6239 69

CHEQUES SHOULD BE MADE PAYABLE TO BCP Asset Management DAC

F SOURCE OF WEALTH & FUNDS

SOURCE OF WEALTH

- Income from Employment
- Gift/Inheritance
- Savings/Reinvestment
- Sale of Asset
- Bonus
- Retirement Lump Sum

SOURCE OF FUNDS

- Reinvestment from BCP product
- Encashment of another product
- Other *(please provide details)*

G INVESTMENT EXPERIENCE

The purpose of this section is to allow BCP to meet its regulatory obligations to ensure all investors in BCP products have an appropriate level of previous investment experience, knowledge and education, that would allow them to properly evaluate the features and the risks involved in the BCP product. Please note the completion of the table below is an important part of the application process so please complete all sections where relevant.

Any other relevant information;

(A) EXPERIENCE INVESTING IN SIMILAR PRODUCTS:

Have you previously invested in hard capital protected structured products with 90% or 95% capital security? Yes No

If **Yes** please provide the no. of years' experience and no of investments made:

Have you previously invested in Kick-Out products? Yes No

If **Yes** please provide the no. of years' experience and no of investments made:

(B) GENERAL INVESTMENT EXPERIENCE:

Asset Class (Currently or Previously invested in via Pension or Investment Portfolio)	No Experience	Limited Experience	Good Experience	Number of Years' Experience	Number of investments/ trades placed	Investment Advice Used	No Investment Advice Used
	Please tick below where relevant			Please complete where relevant		Please tick below where relevant	
Multi-Asset Funds with minimum ESMA 4 risk rating							
Multi-Asset Funds with minimum ESMA 3 risk rating							
Equity Funds							
Listed Company Shares							
Capital Secure Deposit/ Tracker Bonds							
Capital Secure Note/ Certificate Bonds							
Property Funds							

Any other relevant information?

Do you have a professional qualification? Yes No

If **Yes** please describe:

WARNING: The value of your investment may go down as well as up. You may get back less than you invest.

H TRUSTEE'S APPLICATION AND DECLARATION**Please complete below if funds are transferred directly from a Group Scheme.**

We the trustees of the scheme request BCP Asset Management DAC ('BCP') to issue the scheme member named overleaf, a contract or contracts in his/her name in accordance with the details set out in this proposal and subject to the conditions prescribed by BCP which are to be set out in the contracts or contracts and declare that:

1. The statements made above are to the best of our knowledge and belief true and complete.
2. The purchase price arises from the proceeds of a Retirement Benefits scheme which is, or is to be, exempt approved under the taxes Consolidation act 1997.
3. The scheme makes (or will be amended to make) provision for a member to received his/her scheme entitlement on leaving or on winding-up by a buy-out policy.

SIGNATURE FOR THE TRUSTEE(S):

DATE:

NAME OF TRUSTEE: *(Block Capitals)*

I MEMBER'S DECLARATION

I consent to an amount which in the opinion of the trustees of the scheme represents the value on withdrawal from the scheme being bought out under one or more contracts with BCP.

In consideration of this contract expressing a contractual obligation to me and being issued in my name, I release the trustees of the scheme from all liability to me for all benefits in respect of me under the scheme.

I agree that the information contained in this application and such further information (if any) as I or the trustees of the scheme shall supply to BCP in connection with this application shall be the basis of the contract or contracts.

I consent to BCP seeking information and obtaining benefits from the administrator/trustees (and/or relevant insurance company) of any scheme, arrangement or contract of which I am/have been, a member.

I understand that failure to give true and complete answers to all questions may be grounds for rejecting a claim.

I have not withheld or suppressed any material information and where in doubt about whether certain material facts are relevant I have disclosed them.

I authorise my advisor to view details of my account online via vespro.bcp.ie.

I agree to receive valuations and other communications from BCP online via vespro.bcp.ie.

I hereby request and authorise BCP to give effect to any written request, direction or instruction relating to the Bond on the signature of me and I declare that this authorisation, unless terminated by my death or by operation of law, shall remain in full force and effect until the end of the Term of each product.

I authorise BCP to hold my personal data on file, to contact me in writing, by telephone or by email in respect of financial services matters.

I confirm that I have received the BCP Client Asset Key Information Document.

I confirm that I have received the Key Information Document (KID) for each investment.

I confirm that I am not a U.S. Person(s) and I am resident outside the United States.

I confirm that I will notify BCP if I become a U.S. Person or reside in the United States during the term of the Bond(s). I understand that should this occur I cannot continue to hold the investment and must surrender the Bond(s) at its realisable value which may be more or less than the initial investment.

I/We understand the Terms and Conditions as set out in the Brochure including the location of the counterparty and agree to be bound by them.

J MEMBER'S SIGNATURE

Please tick and sign below as applicable:

I confirm that I have read and understand the BCP PRB Terms and Conditions attached to this application and agree to be bound by them.

I confirm that I have read and understand the benefits, risks and warnings as set out in the Brochure for each investment being applied for, and I have read and understand the Terms and Conditions and agree to be bound by them.

I consent to my personal information being used by BCP Asset Management DAC to provide information on new investment opportunities.

Tick box if applicable.

SIGNED

SIGNATURE:

DATE:

For and on Behalf of Applicant

Email address for vespro.bcp.ie registration to view your account online.

 (Required)

Mobile number for vespro.bcp.ie for 2 factor authentication to view your account online.

 (Required)

WARNING: The value of your investment may go down as well as up. You may get back less than you invest.

K INTERMEDIARY DECLARATION

NAME OF INTERMEDIARY FIRM:

RECURRING ADVISOR FEE:

The minimum Recurring Advisor Fee is 0% and the maximum is 0.75% per annum (subject to increments of 0.05%).

CLIENT MET FACE TO FACE?

Yes No

DOES YOUR CLIENT MEET ALL OF THE TARGET MARKET CRITERIA OUTLINED IN THE BROCHURE FOR EACH INVESTMENT?

Yes No (Please tick where applicable)

If you have answered 'No' please explain the reason(s):

I hereby confirm that I have provided investment advice to my client with respect to this investment.

SIGNATURE

DATE

NAME

POSITION

For and on behalf of the Intermediary.

BCP ASSET MANAGEMENT DAC INVESTMENT SERVICES APPLICATION FORM

SERVICE TO BE PROVIDED BY BCP

NON-ADVISORY



This document is to confirm that BCP are providing 'Non-Advisory' services to you as an investor. This means you are receiving investment advice from another firm and that BCP is providing execution-only services to you. Where Non-Advisory services are provided to retail clients in relation to complex financial instruments, BCP are required to gather relevant information in relation to your investment experience and knowledge only. This information gathering is completed via the Application Form.

APPLICANT

Email Address

APPLICANT

Email Address

CONFIDENTIAL PRIVATE CLIENT INFORMATION

WARNING: Not providing the information, or providing insufficient information, will not allow us to determine whether the service or product envisaged is appropriate for you. Should there be any material change in your circumstances please notify us. All information received is treated in confidence in accordance with the Data Protection Acts 1988-2018. "Information" means any information given by you or on your behalf in connection with this application or any further information which may be given at a later stage either in writing, by email at a meeting or over the telephone including that furnished in connection with any application for any product/service available through us.

CLIENT CONFIRMATION

I/We hereby request BCP Asset Management DAC to provide Investment Services to me/us in accordance with its Terms of Business.

I/We understand and acknowledge that all transactions will be subject to the Terms of Business of BCP Asset Management DAC that are applicable at the time of each such transaction, and that the Terms of Business applicable at the date of this application may subsequently be varied.

I/We undertake to inform BCP Asset Management DAC in writing without delay if any information provided to the firm, including my/our address, residency, citizenship or tax status, changes.

I/We acknowledge that I/we have read, understand and accept BCP Asset Management DAC's Terms of Business.

By signing this application form, I/we consent to the Information provided being used as described in the Data Protection section of the Terms of Business and I/we consent to the extent required to the processing of the Information relating to me/us, including the transfer of the Information outside the EEA, as outlined therein.

CLIENT SIGNATURE

(or Authorised Signatory for and on behalf of client)

POSITION (If Applicable)

(Director/Trustee/Other/Specify)

DATE

CLIENT SIGNATURE

(or Authorised Signatory for and on behalf of client)

POSITION (If Applicable)

(Director/Trustee/Other/Specify)

DATE

RETIREMENT

On retirement, you can take a cash lump sum and with the balance, subject to Revenue rules you can:

- purchase a guaranteed pension income for life (an annuity) or
- invest in an Approved (Minimum) Retirement Fund or
- draw down the entire fund as taxable cash or
- choose a combination of these options

You can access your Personal Retirement Bond (PRB) from age 50.

TERMS AND CONDITIONS

Aggregate Investment: The total value of Your Investment including any investment growth, any additional Investments made less any accrued Fees and Charges;

BCP Product: Any product offered by Us (either directly or as distributor) to which you intend to transfer or have transferred all or part of the Your Investment;

Client Cash Account: A nominal code specific to Your Contract for the purposes of accounting for your Investment.

Contract: BCP Personal Retirement Bond;

Distribution(s): Any payment made whether or not to you directly including any payment made to the Revenue commissioners or other Governmental agency as required by law or Revenue practice, including any tax, levy or other statutory deduction;

Fees and Charges: The amounts shown in the Appendix as amended by Us from time to time. Any amendments will be notified to You in writing, which includes email and or by way of your online Vespro account, at least 30 days in advance of the change taking effect. The currently applicable fees and charges are available from Us on request and are available on our website www.bcp.ie;

Day: The day referred to, or where that day is a day where We or a relevant party is closed for business, or where that day is not a dealing day, the next day We or the relevant party is open for business or that is a dealing day;

Financial Advisor: The Intermediary nominated by you to whom/which we pay remuneration. The Intermediary must hold an appointment in writing from Us and be authorised by the Central Bank of Ireland;

Instruction: A valid request to Our satisfaction to undertake an action;

Interest: The amounts shown as such in the Appendix as amended by Us from time to time. Any amendments will be notified to You in writing, which includes email and or by way of your online Vespro account, at least 30 days in advance of the change taking effect. The currently applicable interest amounts are available from Us on request and are available on our website www.bcp.ie;

Investment: The amount or assets you wish to place into the Contract;

Our/We/Us: BCP Asset Management DAC;

Personal Retirement Bond ("PRB"): That part of Your Contract which is recognised by the Revenue Commissioners as a Personal Retirement Bond;

Product with Limited Liquidity: BCP Capital Secure Bonds and BCP Kick-Out Bonds or any other product designated by Us as a Product with Limited Liquidity based on Our assessment of its terms and if it is listed on a recognised market;

You/Your: The applicant for the Contract, or as the context so requires the natural person for whom the Contract is established.

MAKING AN INVESTMENT

To make an Investment the relevant amount must be lodged into the BCP Client Asset Account for the Contract. Once We receive the Investment it will be transferred to Your Client Cash Account within 1 Day.

For in specie transfers the assets will be registered in the name of Your Contract.

If You have provided Us with an Instruction to invest in a BCP Product the relevant part of the Investment will be transferred from Your Client Cash Account to that BCP Product no later than the Start date of that BCP Product.

Where a BCP Product does not have a Start Date (i.e. an open ended product) and Your Instruction is received by Us on any Day before 12.00 p.m. We will transfer the relevant part of Your Investment to the BCP Product within 1 Day provided that BCP Product can accept investments at that time.

Where Your Instruction is received by Us on any Day after 12.00 p.m. We will transfer the relevant part of Your Investment to the BCP Product within 2 Days provided that BCP Product can accept investments at that time.

Where a BCP Product cannot accept investments at the time described above, BCP shall defer transferring the relevant part of Your Investment to the BCP Product until such a time that the BCP Product can accept investments.

You may only cancel an Instruction to invest in a BCP Product provided the manufacturer, administrator or other party authorised to accept/reject a cancellation request in respect of that BCP Product permits the cancellation to proceed.

CLIENT CASH ACCOUNT – COMMON POOL

All amounts in the Client Cash Account will be held in a Client Asset Account in the name of BCP Asset Management DAC. The money held in respect of Your Contract will be held with other clients' assets as part of a common pool so you do not have a claim against a specific sum in a specific account; your claim is against the client assets pool in general.

In the case of any such pooled client account we will ensure that such account is in the name of BCP Asset Management DAC, is designated as a client asset account and that only We are entitled to issue instructions in respect of this account. This client asset account will be operated in accordance with the Irish Client Asset Regulations 2017.

PROCEEDS OF A BCP PRODUCT

The proceeds of any interest coupon, encashment, kick out, maturity or any other payment of any BCP Product shall be transferred to the Client Cash Account within 1 Day of the Day they are received by Us.

An amount equal to the sum of

- any debit balance outstanding on the Fees and Charges Account shall be transferred from the Client Cash Account to the Fees and Charges Account prior to any other transfers being made from the Client Cash Account

plus

- the sum of all outstanding Distributions together with interest thereon

shall be deducted from the proceeds received into Your Client Cash Account prior to Us acting on any Instruction from You.

TERMS AND CONDITIONS CONTINUED**DISTRIBUTIONS****Funded by Us**

Where Your Contract invests into a Product with Limited Liquidity, We will at Your request as indicated on the Application Form or as subsequently notified to Us by You in writing, fund Distributions for the duration of the individual bond(s).

Where We provide funding for Distributions Your Client Cash Account shall be debited with the amount of each Distribution and such amount shall be subject to interest calculated in accordance with the section on Credit below.

Funded by You

Where You do not request Us to fund Distributions for Products with Limited Liquidity You are required to ensure that after investing into a Product with Limited Liquidity, the remaining credit balance in Your Client Cash Account is in excess of anticipated aggregated Distributions over the duration of the term of the Product with Limited Liquidity.

We shall pay any Distribution from the credit balance in Your Client Cash Account. Should the credit balance ever be insufficient to meet the Distribution amount, You are required to transfer funds from a source acceptable to the Us and the Revenue to meet the Distribution amount.

Where You do not make the transfer referred to above within four weeks of Us paying the Distribution amount, You authorise Us to encash any of the Aggregate Investments for an amount equivalent to the Distribution amount paid by Us plus any expenses associated with the encashment.

CREDIT

Where You have requested Us to fund Distributions due on Products with Limited Liquidity we shall apply interest as described below.

Interest will be charged at the rate shown in the Appendix or as subsequently notified to You. The amount of Interest which is due on an annual basis shall be calculated daily as follows;-

$$\frac{\text{Aggregate Investment} * \langle \text{Applicable Interest Rate} \rangle}{365}$$

Where

Aggregate Distributions means the outstanding Distributions funded by Us for Your Contract at the end of each day together with any outstanding accumulated interest thereon

and

Applicable Interest Rate means the rate of interest applicable on Distributions at the time the calculation is performed.

The amount calculated shall be debited to Your Client Cash Account no later than 10 Days after the end of each calendar month or prior to termination of Your Contract if earlier.

FEES AND CHARGES**Annual Management Charge**

An Annual Management Charge ('AMC') shall apply to the Aggregate Investment. The amount of the AMC will be calculated on a daily basis as follows;-

$$\frac{\text{Aggregate Investment} * \text{AMC} \%}{365}$$

The AMC shall be debited to Your Fees and Charges Account each quarter or prior to termination of Your Contract if earlier. The amount debited shall be subject to the minimum and maximum AMC charges applicable at the time.

Recurring Advisor Fee

Where You have advised Us that Your Financial Advisor is to be paid a Recurring Advisor Fee this shall be calculated on a daily basis as follows;-

$$\frac{\text{Aggregate Investment} * \% \text{ Annual Recurring Advisor Fee}}{365}$$

Any Recurring Advisor Fee payable shall be debited to your Fees and Charges Account each quarter or prior to termination of Your Contract if earlier.

Fees and Charges Account

We shall set up a Fees and Charges Account for the accounting and payment of fees and charges applicable to Your Contract. These fees and charges shall be debited to the Fees and Charges Account. The Fees and Charges referred to are those described in these Terms and Conditions. Additional fees and charges may apply to any products in which Your Contract invests.

Interest on Credit and Debit Balances

With the exception of interest due on Distributions which is described above (see 'Credit'), where Interest is payable or receivable the amount shall be calculated as follows;-

$$\frac{\text{Closing daily balance in the relevant account} * (\text{Applicable interest rate}/365)}$$

Any resulting interest shall be debited or credited as appropriate to Your Client Cash Account within 10 Days of each calendar month end or prior to termination of Your Contract if earlier.

WHAT HAPPENS ON DEATH?

In the event of Your death whilst Your Contract is in force;-

- a) Your Aggregate Investment may be redeemed and Your Contract terminated subject to normal probate regulations and legislation and Revenue practice governing Your Contract. The value of Your Aggregate Investment will be the realisable value of the BCP Product held on the date it is, or if more than one product, they are, realised less any charges incurred in its/their realisation. The realisable value will be transferred into the Client Cash Account.
For BCP Structured Bonds and Structured Deposits the realisable value will be calculated primarily by reference to the market value of the assets, the remaining term to maturity, and the prevailing interest rates at the time. The amount redeemed may be more or less than the capital secure amount.
- b) All Distributions funded by Us together with any interest due thereon and the amount of any debit balance in Your Fees and Charges Account on the Day prior to Your Contract being terminated shall be deducted from the realisable value before the settlement is paid to the Executors of Your Estate.

Tax may apply on any subsequent payments to any beneficiary who is not your spouse or civil partner at the date of your death.

AMENDMENTS TO THESE TERMS AND CONDITIONS

We may amend these terms and conditions by providing You with at least 30 days' notice.

All Fees, Charges, interest rates and other amounts shown in these Terms and Conditions are those applicable as at May 2020 and are subject to change.

APPENDIX – FEES AND CHARGES CURRENT 1 MAY 2020**ANNUAL MANAGEMENT CHARGE**

0.20% per annum subject to a minimum charge of €100 per annum and a maximum charge of €2,000 per annum.

INTEREST ON CREDIT AND DEBIT BALANCES

	Applicable Interest rate per annum	
	Debit Balances	Credit Balances
Client Cash Account	2.50%	0.00%
Fees and Charges Account	0.00%	0.00%

RECURRING ADVISOR FEE

The minimum Recurring Advisor Fee is 0% and the maximum is 0.75% per annum of the Aggregate Investment (subject to increments of 0.05%).

Current: 1 May 2020

BCP

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**POLITICALLY EXPOSED PERSON
(PEP) OR RELATIVE OR CLOSE
ASSOCIATE (RCA) OF A PEP AND
OTHER PERSONS OF INFLUENCE**

Supplementary Form

A INVESTOR DETAILS

We are obliged under AML legislation to identify PEPs, RCAs and other Persons of Influence.

APPLICANT:

B PEP\RCA STATUS

An explanation of PEP and RCA is detailed overleaf

Are you a PEP or Relative or Close Associate of a PEP?

Yes No

I am a PEP:

Yes No

If you have answered **Yes**, please provide the details of the prominent public function you perform:

I am a Relative or Close Associate of a PEP:

Yes No

If you have answered **Yes**, please complete below:

Relationship to PEP:

Name of PEP:

Prominent public function performed by the PEP:

C OTHER PERSONS OF INFLUENCE

Do you **hold a prominent position or enjoy a high public profile?**

Yes No

If you have answered **Yes** please confirm the prominent position held:

A Senior local or regional public official with the ability to influence the awarding of public contracts

Yes No

A decision making member of a high profile sporting body

Yes No

An individual that is known to influence the government and other senior decision makers

Yes No

Another prominent position *(please specify)*

Are you **involved in political lobbying?**

Yes No

If you have answered **Yes** please confirm

Name of the relevant political lobbying entity:

D SIGNATURE**Please sign and date.****SIGNATURE:****DATE:****For and on behalf of applicant****INFORMATION NOTE****Who is a Politically Exposed Person (PEP)?**

A “politically exposed person” means any individual, who currently is, or has at any time in the past 12 months, been entrusted with a prominent public function and performs one of the following roles:

- (A) A member of a parliament/member of a legislature or equivalent
- (B) A member of a Supreme Court, Constitutional Court or any other high level judicial body which passes non-appealable verdicts (except in exceptional circumstances)
- (C) A member of a court of auditors or the board of a central bank
- (D) An ambassador, charge d’affaires or high ranking officer in the armed forces
- (E) A member of the administrative, management or supervisory body of a state owned enterprise

Who is a Relative?

- (A) Any spouse of the Politically Exposed Person
- (B) Any person who is considered to be a common law spouse of the politically exposed person under law
- (C) A child of the politically exposed person
- (D) Any spouse of the child of a politically exposed person
- (E) Any parent of the politically exposed person
- (F) Any other family member of the politically exposed person who is of a prescribed class set out by the Department of Finance

Who is a Close Associate?

- (A) Any individual who has a joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations with the politically exposed person
- (B) Any individual who has a sole beneficial ownership of a legal entity or legal arrangement set up for the actual benefit of the politically exposed person¹

