

THE
BCP INDIVIDUAL INVESTOR
AND SELF DIRECTED SSAP, ARF,
PRB & PRSA APPLICATION FORM FOR
DEPOSIT PRODUCTS ONLY

This form is to be used for **Individual investors** and **Small Self Administered Pension (SSAP), Approved Retirement Fund (ARF), Personal Retirement Bond (PRB) & Personal Retirement Savings Account (PRSA) investors ONLY.**

Companies, Partnerships, Charities, Religious Orders,
Associations, Trusts and other non-natural entities should complete the
Non-Individual Investors Form.

A CONTACT DETAILS**(1) NAME (MR/MS)****DATE OF BIRTH**Place of Birth
(Town/City)**PPS NUMBER****ADDRESS****TELEPHONE**

Day

Mobile

for vespro.bcp.ie for 2 factor authentication to view your account online

EMAIL

for vespro.bcp.ie registration to view your account online

(2) NAME (MR/MS)**DATE OF BIRTH**Place of Birth
(Town/City)**PPS NUMBER****ADDRESS****TELEPHONE**

Day

Mobile

for vespro.bcp.ie for 2 factor authentication to view your account online

EMAIL

for additional user for vespro.bcp.ie registrations to view your account online

FOR SELF DIRECTED SSAP, ARF, PRB AND PRSA INVESTORS ENTER NAME OF THE SSAP, ARF, PRB OR PRSA HERE:**ADDRESS****B INVESTMENT CHOICE**

Investment advice was provided by:

Intermediary ☐BCP ☐Advice not provided ☐

Is the applicant the beneficiary and controller of these investment funds?

Yes ☐No ☐If **No** please provide the name(s) of the Beneficial Owner/Controller and relationship to you:**BCP (€) Euro Electronic Funds Transfer (EFT) Details:****Bank Name:** HSBC Continental Europe, Ireland**Bank Address:** 1 Grand Canal Square, Grand Canal Harbour, Dublin 2, D02 P820**Account Number:** 37003680**Account Name:** BCP AM Client Asset Account**Sort Code:** 99-02-31**BIC Code:** HSBCIE2D**IBAN No.:** IE49 HSBC 9902 3137 0036 80**CHEQUES SHOULD BE MADE PAYABLE TO: BCP Asset Management DAC****B1 NEW INVESTMENT****BCP DEPOSIT NAME****INVESTMENT AMOUNT**

€

€

B2 TOP UP TO AN EXISTING HSBC 7 DAY NOTICE DEPOSIT

For clients who are already invested in the Deposit subsequent investments have a minimum investment amount of €10,000.

ACCOUNT NUMBER

AMOUNT OF TOP-UP

BCP/HSBC 7 Day Notice Deposit

€

B3 RE-INVESTMENT OF A MATURING BCP INVESTMENT

ACCOUNT NUMBER

BCP DEPOSIT NAME

INVESTMENT AMOUNT

€

€

REINVESTMENTS: I/we would like to reinvest/part reinvest the maturity proceeds of my/our BCP investment in the above investment(s) as detailed above. I/we understand that on this basis the full maturity amount will not be issued to me/us directly but reinvested/part reinvested in the new BCP investment(s) as per the instruction above and in accordance with the product documentation signed.

PARTIAL REINVESTMENTS: Please return the balance of funds to me/us.

C ANTI MONEY LAUNDERING REQUIREMENTS

As part of our Anti Money Laundering requirements we are obliged to obtain information about your employment, income, details on how you acquired the money you are investing and the source of the funds being used.

EMPLOYMENT STATUS

INVESTOR 1

INVESTOR 2

Employed

Employed

Self Employed

Self Employed

Not Employed

Not Employed

Retired

Retired

Third Level Education

Third Level Education

Please provide a description of your occupation / former occupation

Please provide details of your employer or business (if self-employed), or former employer/business if retired. Please include name, type of business and nature of activities. If Self Employed please detail the country or countries where at least 20% of turnover originates.

Are you resident for tax purposes of any country or territory other than Ireland?

Yes

No

Yes

No

If **Yes**, please list below all countries/territories in which you are resident and provide the relevant Tax Identification Number(s) (TIN)

C ANTI MONEY LAUNDERING REQUIREMENTS Continued

Are you, or have you ever been, a Politically Exposed Person (PEP) or a Relative or Close Associate (RCA) of a PEP?

Yes ☐ No ☐

Yes ☐ No ☐

Do you, or have you ever, held a prominent position or enjoy (or have enjoyed) a high public profile?

Yes ☐ No ☐

Yes ☐ No ☐

Are you, or have you ever been, involved in political lobbying?

Yes ☐ No ☐

Yes ☐ No ☐

If you have answered Yes to any of the above three questions, please complete the PEP, RCA and Other Persons of Influence Supplementary Form on the BCP website: <https://www.bcp.ie/investments/forms>. An explanation of these terms is provided in the Supplementary Form.

SOURCE OF WEALTH

Please select the relevant source(s) below to confirm how you accumulated the money you are currently investing. You may select more than one option.

1. Accumulated earnings or Savings

☐

Please provide details below

If you have selected Accumulated earnings or Savings please indicate the approximate gross annual income for each investor from all sources:

INVESTOR 1

< €20,000

☐

€20,000 – €50,000

☐

€50,000 – €100,000

☐

€100,000 – €150,000

☐

> €150,000

☐

2. Gift/Inheritance

☐

Please provide details below

3. Sale of Asset / Investment

☐

Please provide details below

4. Sale of business

☐

Please provide details below

5. Retirement lump sum

☐

Please provide details below

INVESTOR 2

< €20,000

☐

€20,000 – €50,000

☐

€50,000 – €100,000

☐

€100,000 – €150,000

☐

> €150,000

☐

6. Lottery win

☐

Please provide details below

7. Reinvestment of BCP Maturity

☐

Please provide details below

8. Other

☐

Please provide details below

Please provide as much information as you can on your Source of Wealth in the box below. In some instances BCP Asset Management may be required to obtain proof of Source of Wealth depending on the type of client, investment amount etc.

SOURCE OF FUNDS

Maturing BCP investment

☐

Investment from existing funds

☐

Non-BCP maturity / encashment

☐

Please provide the name of the financial institution in the box below

Financial institution:

C ANTI MONEY LAUNDERING REQUIREMENTS *Continued***PAYMENT METHOD**

Personal cheque

EFT/Bank transfer

Bank / Credit Union Draft*

*Please complete the section below**Please complete the section below and confirm the reason why a draft was used*

Reason for using Bank / Credit Union Draft:

For payment by EFT/Bank transfer or Bank/Credit Union Draft please complete the details below:

Institution Name

Location of Account

Account Name

IBAN

***Where payment is via Bank/Credit Union Draft we also require:**

The Draft must be accompanied with evidence from the bank/credit union (machine printed) that confirms the account number from which the Draft was drawn and the amount debited from the account. The form must be signed by the applicant(s). Alternatively please provide a copy of the account statement showing the withdrawal of the funds for the Draft.

BANK DETAILS FOR PAYMENTS

In order to pay maturity/income/interest proceeds please provide the relevant bank account details for you. Please note that maturity/income/interest proceeds can only be paid to an account in your name.

Account Name

Bank/Credit Union/
Building Society Name

Bank Address

IBAN

D DECLARATION IN RESPECT OF DEPOSIT APPLICATIONS

I/We authorise my/our advisor to view details of my/our account online via vespro.bcp.ie.

I/We agree to receive valuations and other communications from BCP online via vespro.bcp.ie.

I/We hereby request and authorise BCP to give effect to any written request, direction or instruction relating to the product on the signature(s) of me/us and I/We declare that this authorisation, unless terminated by my/our death or by operation of law, shall remain in full force and effect until the end of the Term of each product.

I/We understand the Terms and Conditions as set out in the Brochure including the location of the counterparty and agree to be bound by them.

I/We authorise BCP to hold my/our personal data on file, to contact me/us in writing, by telephone or by email in respect of financial services matters.

I/We confirm that I/we have received the BCP Client Asset Key Information Document.

I/We acknowledge that any deposit interest earned prior to the Start Date or after Maturity Date/Withdrawal will be retained by BCP, as will any deposit interest earned which is payable to BCP for services provided during the term of the Investment as set out in the relevant Brochure.

I/We acknowledge that all client assets held by BCP are held in an omnibus client asset account in the name of BCP.

I/We confirm that I have read and understand the benefits, risks and warnings as set out in the Brochure for each investment being applied for, and I have read and understand the Terms and Conditions and agree to be bound by them.

I/We agree to advise BCP immediately if, during the term of my/our investment, I/we or any beneficial owner becomes a PEP or a relative or close associate of a PEP or other person of influence.

I/We agree to notify BCP immediately if, during the term of my/our investment, there is a change of any beneficial owner or controller.

I/We confirm all the information is true and complete, including that not completed in my/our own hand.

E SIGNATURE(S)

I/We consent to my/our personal information being used by BCP Asset Management DAC to provide information on new investment opportunities.

Yes ☐No ☐

I/We have reviewed this Application and confirm the Information, Declarations, Authorisations, Understandings, Acknowledgements and Consents given therein.

SIGNED All applicants must sign

SIGNATURE (1)

DATE

SIGNATURE (2)

DATE

F INTERMEDIARY DECLARATION

Name of Intermediary Firm

Please note that for the purposes of face to face (see below), video calls do not constitute face to face, you must have met the client in person.

When was your last in person face to face meeting with the client

Month

Year

Never met in person

When was your last video meeting with the client

Month

Year

Never met via video

How long have you known the client

Have you completed all checks to verify the identity, address, source of funds and source of wealth of the applicant to the standards set out in the Anti-Money Laundering (AML) and Countering the Financing of Terrorism (CFT) legislation and is the transaction consistent with the pattern expected and does not give rise to AML/CTF concerns?

Yes ☐No ☐

Please provide any additional information you feel may be relevant in assessing this application from an Anti-Money Laundering / Counter Terrorism Financing perspective e.g. how long you have known the client, source of wealth, various sources of income:

SIGNATURE

DATE

NAME

POSITION

For and on behalf of the intermediary

DATA PROTECTION

BCP Asset Management DAC complies with the requirements of the General Data Protection Regulation 2018 and the Irish Data Protection Act 2018.

"Information" means any information given by you or on your behalf in connection with your Investment Application to us. Where you are not a natural person, Information also includes any information you provide to us in respect of your officers, directors or employees, in this regard the use of the term 'you' in this Data Protection refers to you or such individuals as appropriate. Information includes any further information which may be given at a later stage either in writing, by email at a meeting or over the telephone including that furnished in connection with any application for any product/service available through us.

The Information will be used by us for the purposes of processing your applications, managing and administering your relationship with us and any products/services for which you have completed an application. The information will also be used for the prevention of money laundering, financing of terrorism or fraud, and compliance with any legal and regulatory obligations which apply to us.

The Information may be disclosed to BCP Asset Management group, third parties including, but not limited to, the intermediary acting on your behalf, product producers/service providers to which you have submitted an application or to which such submission is being contemplated, the providers of services to us, the Administrator, distributors, the Trustee and/or their respective delegates and agents of any Fund you are invested in. We may also disclose your data for legitimate business interest & legal obligations, to auditors, the Central Bank of Ireland, the Irish Revenue Commissioners, other relevant regulators and tax authorities. For further information on Foreign Account Tax Compliance Act (FATCA) or Common Reporting Standard (CRS) please refer to Irish revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link: <http://www.oecd.org/tax/automatic-exchange/common-reportingstandard/> in the case of CRS only.

Please refer to the BCP Data Privacy Notice and Data Privacy Summary on our website www.bcp.ie for how we obtain your information.

Right of access, rectification or erasure

You have the right at any time to request a copy of any "personal data" (within the meaning of the General Data Protection Regulation 2018 and the Irish Data Protection Act 2018) that we hold in relation to you and have it corrected if it is inaccurate or out of date. To exercise your Right of Access or to update your details under your Right of Rectification or Erasure please email your request to the Dataprotection@bcp.ie

Data Retention

Information submitted by you when making an enquiry may be retained by us for a period of up to 12 months from the date of the enquiry. Your information will be held for a period of at least 6 years after the ending of the client relationship.

Data Security

BCP Asset Management DAC intent is to strictly protect the security of your personal information and carefully protect your data from loss, misuse, unauthorised access or disclosure, alteration or destruction. We have taken appropriate steps to safeguard and secure information by us.

