

# BCP Products Due Diligence

To be completed by Managing Director, Sales Director or Principal of the Intermediary Firm

<b>Legal Name of Intermediary</b> ('the Firm'):				
<b>Trading name</b> if different:				
Has the Firm placed clients into Capital Secure Deposit Based Bonds?	YES		NO	
How long has it being doing so?				
Has the Firm placed clients into Capital Secure Note/Certificate Based Bonds?	YES		NO	
How long has it being doing so?				
Do you understand the difference between Capital Secure Deposit Based Bonds and Capital Secure Note/Certificate Based Bonds?	YES		NO	
Do you understand that not all capital secure products provide compensation arrangements in respect of counterparty default?	YES		NO	
Do you understand that a Note and a Certificate are senior unsecured debt securities issued by a bank?	YES		NO	
Has the Firm placed clients into Soft Protected/ "Kick-Out" Bonds?	YES		NO	
How long has it being doing so?				
For soft capital protected products do you understand the impact on the client's investment in the event of breaching the barrier?	YES		NO	

## DECLARATION

I confirm that

- I will read all brochures, terms and conditions issued by BCP in respect of products where it acts as producer, lead distributor or fund manager and I will advise BCP if I do not understand any of the content, terms or conditions;
- where there is no compensation scheme for investors in the event of counterparty default the Firm will advise its clients of this fact;
- where a client's previous investment experience in capital secure products is products providing hard capital security, the Firm will highlight and explain the differences of these as compared to products with soft capital protection.

I confirm the Firm has adequate systems and controls in place to meet the Central Bank of Ireland's Consumer Protection Code and Minimum Competency Code.

I confirm the above statements are true and accurate.

<b>Signed for and on behalf of the Firm:</b>	
<b>Name</b> (print):	
<b>Position:</b>	



BCP Asset Management DAC

71 Upper Leeson Street, Dublin 4, Ireland | T: (01) 668 4688 | F: (01) 668 4246 | E: [invest@bcp.ie](mailto:invest@bcp.ie) | W: [www.bcp.ie](http://www.bcp.ie)

BCP Asset Management DAC, trading as BCP, is regulated by the Central Bank of Ireland.